JC10 Rec'd PCT/PTO 29 APR 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
J.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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7		SMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBEL U 199399 5								
CC		SIGNATED/ELECTED OFFICE (DO/EO/US) RNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)								
		NAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED								
	F	PCT/EP2003/010895 October 1, 2003 October 31, 2002								
DRIVE SYSTEM FOR AN OFF-ROAD UTILITY VEHICLE										
APPLICANT(S) FOR DO/EO/US										
Wolfgang HEIER and Baptist ZEPF Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. 2.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSECUENT submission of items concerning a submission under 35 U.S.C. 371.								
		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	\boxtimes	The US has been elected (Article 31).								
5.4	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		a. is attached hereto (required only if not communicated by the International Bureau).								
,		b. An has been communicated by the International Bureau.								
		c. Is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.	\boxtimes	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
4		a. 🔀 is attached hereto.								
,		b. has been previously submitted under 35 U.S.C. 154(d)(4).								
₹.	\boxtimes	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a. are attached hereto (required only if not communicated by the International Bureau).								
		b. have been communicated by the International Bureau.								
		c. have not been made; however, the time limit for making such amendments has NOT expired.								
,		d. have not been made and will not be made.								
8.	\boxtimes	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.	\boxtimes	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
	Items	ms 11 to 20 below concern document(s) or information included:								
11.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98 including Form PTO 1449 International Search Report and four (4) cited References.								
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3:28 and 3:31 is included.								
13.	\boxtimes	A preliminary amendment.								
14.	\boxtimes	An Application Data Sheet under 37 CFR 1.76.								
15.	\boxtimes	A substitute specification (Clean/Marked-Up Version).								
16.		A power of attorney and/or change of address letter.								
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.	\boxtimes	Other items or information: 2 sheets of drawings; Forms PCT/IB/308, 301 and 304, Face page copy of Published PCT/EP2003/010895, International Search Report w/amended sheets								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER				
(To Be 🍒 🕏	o Be \$\square\$ \square\$ PCT/EP2003/010895				10895	095309.56246US				
	following fees ha	CALCULATIONS PTO USE ONLY								
21. 🔀 Bas	ic national fee	\$300.00	\$300.00							
22. 🛛 Exa	mination fee									
			ared by USPTO and all o							
	sions of PCT Art	\$200.00								
All other situation		\$200.00								
23. Search fee										
Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority										
International Sea										
All other situation	ıs	\$400.00								
	TOTA	\$900.00								
Additional fee for specification and drawings filed in paper over 100 sheets (excluding										
sequence listing or computer program listing filed in an electronic medium). The fee is										
\$250 for each	ch additional 50 s	ļ								
Total Sheets	Extra Sheets		h additional 50 or fraction	n	RATE					
19 - 100	thereof (round up to a whole number) 9 - 100				x 250	\$				
		ng the oath or de	claration later than 30 mc	onths fro						
claimed priority d		\$130.00								
CLAIMS	NUM	NUMBER FILED NUMBER EXTRA			RATE					
Total claims		14 - 20	0		x 50	\$				
Independent cla	aims	1- 3	0		x 200	\$				
MULTIPLE DEPI	ENDENT CLAIM	(S) (if applicable)		 	+ 360	\$				
		\$								
TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.										
Applicant cia	ins small endry s	status. See 37 Cr	1.27. Fees above are	reduced	SUBTOTAL =	\$1030.00				
Processing fee o	f \$130.00 for fur	sishing the Englis	h translation later than 3	0 months		\$1030.00				
earliest claimed		\$								
	,	\$1030.00								
Fee for recording	TOTAL NATIONAL FEE = \$1030.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be									
			FR 3.28, 3.31). \$40.00 pe			\$				
		\$1030.00								
		Amount to be								
		refunded: Amount to be	\$							
		charged:	s							
a. A check in the amount of \$1030.00 to cover the above fees is enclosed.										
a. <u>Pa A Greek in the amount of a read-ou</u> to cover the above lees is efficiosed.										
b. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56246US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.56246US). A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not										
be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL COR	RESPONDENCE	: TO·			L_{1}	$\bigcap \mathcal{O}_{A}$	1			
Crowell & Moring, LLP										
Intellectual Property Group SIGNATURE										
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Fax No	o. (202) 628-8844	1			SISTRATION NUI 29, 2005	VIDER				
	DATE									
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

: (To Be Assigned)

Confirmation No. : (TBA)

First Named Inventor : Wolfgang HEIER

Filed

: (Herewith)

TC/A.U.

: (To Be Assigned)

Examiner

: (To Be Assigned)

Docket No.

: 095309.56246US

Customer No.

: 23911

Title

: Drive System for an Off-Road Utility Vehicle

SUBMISSION OF SUBSTITUTE SPECIFICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

dward

April 29, 2005

Gary R. Edwards

Registration No. 31,824

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300 Telephone No.: (202) 624-2500

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GRE:dmg:alw